

Neural Networks 3 - Neural Networks

18NES2 - Lecture 4, Winter semester 2025/26

Zuzana Petříčková

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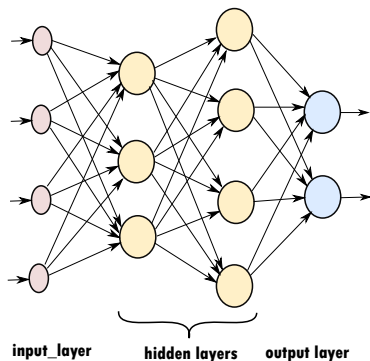
Neural Networks 2 - Practical Tasks and Examples

- 1 Review
- 2 Practical Examples of Different Task Types
- 3 Binary Classification — IMDB Dataset
 - Data representation
 - Training
- 4 Multiclass Classification - Fashion MNIST dataset
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- 5 Regression — Boston Housing Dataset
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What We Covered Last Time

Multi-Layer Neural Network Model (Multi-Layer Perceptron, MLP)

- Training process
- Python Libraries for Machine Learning and Deep Learning - practical examples
- Training workflow in Keras: a complete example (partly as homework)
- Homework assignment



What We Covered Last Time

Training workflow in Keras: a complete example

- We can quickly revisit this example today — we didn't have time to finish it last time.

Homework assignment

- The assignment was due **today**.
- Those who have submitted can arrange a short consultation with me this week.
- **Points will be awarded only to those who complete the consultation by the end of this week.**

Practical Examples of MLP on Different Task Types

We will look at three basic machine learning tasks:

- **Binary classification** – IMDB movie reviews
 - Text data → sentiment analysis (positive / negative)
 - Representation: Bag-of-Words (vector of word occurrences)
- **Multiclass classification** – Fashion-MNIST
 - Image data → clothing type recognition
 - Representation: pixel intensity matrix (grayscale images)
- **Regression** – Boston Housing dataset
 - Tabular numerical data → prediction of house prices
 - Focus on model evaluation (cross-validation)

Together, these examples cover the main data types used in deep learning: **text**, **image**, and **numerical** data.

- What about **time series**? → for a MLP example, see materials for 18NES1, we will return to this type of data later.

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Example: Binary Classification — IMDB Dataset

`binary_classification_imdb.ipynb`

- Commented example (full training workflow in Keras + additional practice tasks)

About the IMDB Dataset

- **50,000** movie reviews: **25,000 train** / **25,000 test**
- Reviews represented as **sequences of integer word indices** (by frequency)
- Use only the most frequent words (e.g., **top 10,000**) to limit vocabulary size
- **Target:** sentiment (0 = negative, 1 = positive)

Model choice

- For text classification, an **MLP** (on BoW) can serve as a **solid baseline**
- Note: More specialized models (Embedding + **1D-CNN/RNN/Transformer**) often perform better on text.

Now we will implement a minimal MLP as the baseline model.

Integer Word Encoding (IMDB Dataset Example)

Original reviews (toy example)

Review 1: *"The movie was great"*

Review 2: *"The movie was not good"*

Vocabulary (top 6 words)

[the, movie, was, great, not, good] $\Rightarrow$ [1, 2, 3, 4, 5, 6]

Integer-encoded representation

	Word indices
Review 1	[1, 2, 3, 4]
Review 2	[1, 2, 3, 5, 6]

(Each review becomes a sequence of integers; lengths differ before padding.)

Example: Binary Classification — IMDB Dataset

- Movie reviews are represented as sequences of word indices
 - Indices assigned to words according to word frequency in the corpus
 - Only the most frequent words are used
- **Problem:** Reviews differ in length — we need a fixed-size input representation to use multi-layer (dense) neural networks
- **Possible solutions:**
 - Padding / truncation of sequences to the same length
 - Bag-of-Words (BoW) or TF-IDF representation

Bag-of-Words Representation (BoW)

Original reviews (toy example)

Review 1: *"The movie was great"*

Review 2: *"The movie was not good"*

Vocabulary (top 6 words)

[the, movie, was, great, not, good] $\Rightarrow$ [0, 1, 2, 3, 4, 5]

Bag-of-Words representation (binary / multi-hot encoding)

	the	movie	was	great	not	good
Review 1	1	1	1	1	0	0
Review 2	1	1	1	0	1	1

(Each review is represented by a fixed-length binary vector of vocabulary size.)

Bag-of-Words Representation (BoW)

- Simplest way to obtain a fixed-length input vectors
- Each review is converted into a vector of word counts (or binary values)
- The vector length equals the vocabulary size (e.g., 10,000)

Advantages

- Easy to implement and interpret
- Works surprisingly well for simple tasks (e.g., sentiment or topic classification)

Bag-of-Words Representation (BoW)

Limitations

- Does not take into account the order or position of words in the text
- Loses information about context and word relationships
- Produces large, sparse matrices with high memory requirements
- **No notion of similarity:** In this space, all words are equally distant — the model cannot capture that "good" and "excellent" are semantically related

Better text representations?

- Techniques such as **TF-IDF**, **word embeddings** (Word2Vec, GloVe) or **contextual embeddings** (BERT) address these issues

(We will discuss these more advanced representations in detail later in the semester.)

Example: Binary Classification — IMDB Dataset

Model setup

- Start with a relatively small model and use a larger batch size
- Sigmoid activation in the output layer
- ReLU (or *tanh*) activations in hidden layers
- Loss function: BinaryCrossentropy, metric: BinaryAccuracy

Observations

- Test accuracy around 85%
- The model tends to overfit quickly (validation loss increases)
→ Try reducing the number of epochs, using early stopping, or applying regularization techniques

Example: Binary Classification — IMDB Dataset

Summary

- 1 For binary classification, use the BinaryCrossentropy loss (MSE can also be used) and the BinaryAccuracy metric. The output layer uses a sigmoid activation.
- 2 Each review is represented by a fixed-length Bag-of-Words vector (binary or count-based representation of the most frequent words).
- 3 Bag-of-Words is a simple and effective way to represent text for dense neural networks. (Alternatives: TF-IDF, word embeddings, etc.)
- 4 Validation data help assess how well the model learns and generalizes.
- 5 The IMDB dataset is ideal for illustrating binary text classification and serves as a bridge to more advanced natural language processing (NLP) models.

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Example: Multiclass Classification Task – Fashion MNIST

`binary_classification_fashion_mnist.ipynb`

- Commented example (full training workflow in Keras + additional practice tasks)

About the MNIST Dataset

- **70,000** grayscale images of clothing items from **10 categories** (e.g., T-shirt, trousers, bag), size **28×28**
- Centered objects of similar size
- **60,000** training images / **10,000** test images
- **Target:** label **0–9** (10 classes)

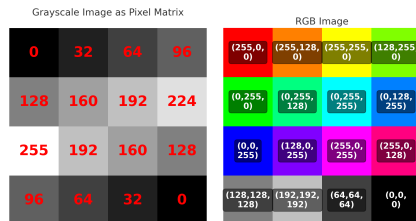
Model choice

- For image classification, a **MLP** is a clear **baseline**.
- Note: **CNNs** usually perform better on images by exploiting spatial structure (convolutions/pooling).

Now we will implement a minimal MLP (and later compare with a CNN)

Digital Image Representation

- A digital image is a matrix (tensor) of numerical values (pixel intensities)
- Each pixel (short for "picture element") describes the color at a specific position in the image.



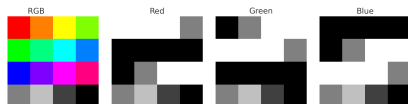
Grayscale Image

- Each pixel is a single value indicating brightness (e.g., 0 = black, 255 = white).
- For machine learning, pixel values are usually normalized to the interval $[0, 1]$.

Digital Image Representation

Color Image (RGB)

- Each pixel consists of three components: R (red), G (green), B (blue).
- The image is represented as a 3D tensor of shape (height $\times$ width $\times$ 3).
- These components are called color channels.



Preprocessing of image data:

- Resizing images to a fixed size
- Normalizing pixel values (e.g., to $[0, 1]$ or $[-1, 1]$)
- For simple models (e.g., MLP), images must be **vectorized** — converted to 1D vectors

Example: Multiclass Classification Task – Fashion MNIST

- 70,000 grayscale images of clothing items from 10 categories (e.g., shirts, shoes, bags) (28x28)
- Centered objects, all of similar size
- 60,000 training images, 10,000 test images
- Output label: 0–9 (10 classes)
- Data characteristics:
 - All images have the same size → no need to standardize shape
 - Input data are 3D → must be vectorized
 - Pixel values range from 0...255 → must be normalized to $[0, 1]$ or $[-1, 1]$

Example: Multiclass Classification Task – Fashion MNIST

Model setup

- Softmax activation function in the output layer
- ReLU (or tanh) activation in hidden layers
- Loss: **SparseCategoricalCrossentropy**, Metric: **SparseCategoricalAccuracy** (if labels are integers)
- Loss: **CategoricalCrossentropy**, Metric: **CategoricalAccuracy** (if labels are one-hot vectors)

Typical observations

- Test accuracy is typically around 79-80% for a simple MLP
- Training and validation accuracy close $\Rightarrow$ model **generalizes well** (no overfitting)
- Both accuracies relatively low $\Rightarrow$ possible **underfitting**
- Accuracy may improve with a larger or deeper model, more epochs or better learning rate, or using a **CNN** instead of MLP

Example: Multiclass Classification – Fashion MNIST

Summary

- 1 For multiclass classification, use the **CategoricalCrossentropy** or **SparseCategoricalCrossentropy** loss, and the **Accuracy** metric.
- 2 The output layer uses the softmax activation function.
- 3 Image data should be normalized (pixel values scaled to $[0, 1]$ or $[-1, 1]$).
- 4 For MLP models, input images must be flattened to 1D vectors.
- 5 The Fashion MNIST dataset is ideal for illustrating multiclass classification and serves as a bridge to convolutional neural networks (CNNs).

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Example: Regression Task — Boston Housing Data

`regression_boston_housing.ipynb`

- Commented example (full training workflow in Keras + additional practice tasks)

About the **Boston Housing Dataset**

- Classic machine learning benchmark dataset for regression
- **506** samples, **13** numerical features (e.g., RM, LSTAT, PTRATIO, NOX, CRIM)
- Target: median house value (**MEDV**) in \$1,000s
- 404 training examples, 102 testing
- Features are on very different scales

Model choice

- For small tabular regression tasks, an **MLP is a strong baseline** (DL/NN context).
- In classical ML, **tree-based ensembles** (e.g., Gradient Boosting) are often competitive or superior.

Example: Regression Task — Boston Housing Data

Feature meanings

- CRIM — per capita crime rate by town
- ZN — proportion of residential land zoned for lots $> 25,000$ sq.ft.
- INDUS — proportion of non-retail business acres per town
- CHAS — Charles River dummy (1 if tract bounds river; else 0)
- NOX — nitric oxides concentration (ppm)
- RM — average number of rooms per dwelling
- AGE — proportion of owner-occupied units built prior to 1940
- DIS — weighted distances to Boston employment centres
- RAD — index of accessibility to radial highways
- TAX — full-value property-tax rate per \$10,000
- PTRATIO — pupil-teacher ratio by town
- B — $1000 \times (B_k - 0.63)^2$, where B_k is proportion of Black residents (historically sensitive)
- LSTAT — % lower status of the population

Example: Regression Task — Boston Housing Data

Data characteristics:

- Features have very different ranges of values →
 - Recommended preprocessing: **normalization** of features
 - **StandardScaler** - normalize each feature (zero mean, unit variance)
- The dataset is small (404 training samples) →
 - 1 The model must be small to avoid overfitting
 - 2 A single test set is not sufficient for reliable evaluation → use **cross-validation**

Cross-Validation

- Allows us to estimate how well the model generalizes even when the dataset is relatively small
- A generalization of the train/test split approach
- Useful for reliable model and hyperparameter comparisons

Monte Carlo Cross-Validation: random repeated splitting

- 1 For $i = 1, \dots, k$:
 - Randomly split dataset T into T_1 (training) and T_2 (test), e.g. 70:30
 - Train the model on T_1 , evaluate on T_2
 - Record the test error
- 2 Compute mean and standard deviation of errors over k runs (typically $k = 100$)

Cross-Validation

K-Fold Cross-Validation

- Compared to Monte Carlo, it systematically covers the entire dataset (no sample is left out)

Basic principle:

- 1 Split training data T into k equally sized disjoint subsets $T_1, \dots, T_k$
- 2 For $i = 1, \dots, k$:
 - Train on $T \setminus T_i$, evaluate on T_i
 - Record the test error
- 3 Compute the average and standard deviation over all k runs (commonly $k = 10$)

Example: Regression Task — Boston Housing Data

Model setup

- For small datasets, 1–2 hidden layers are sufficient
- Linear activation function in the output layer
- ReLU (or *tanh*) activations in hidden layers
- Loss function: **MeanSquaredError (MSE)** Metrics: **MAE**, **MSE** (https://keras.io/api/metrics/regression_metrics)

Observations

- If the model is too large or trained too long, it will overfit (validation/test error increases)

Example: Regression Task — Boston Housing Data

Summary

- 1 For regression tasks, use the **MSE** loss and regression metrics (**MSE**, **MAE**, ...). The output layer uses a linear activation.
 - 2 If input features have different value ranges, normalize each feature.
 - 3 The smaller the training dataset, the smaller the model should be to avoid overfitting.
 - 4 If training continues for too long, overfitting occurs — validation error increases.
 - 5 When data are limited, k -fold cross-validation gives a better estimate of model performance.
- The Boston Housing dataset is a classic regression benchmark and serves as a reminder that deep learning methods can also be applied to traditional machine learning tasks.

Summary: When to Use MLP Models

Key points:

- Multilayer Perceptrons (MLPs) work well for **smaller datasets** with **numerical features**.
- They are suitable for tasks where the input can be represented as a **fixed-size feature vector**.
- MLPs serve as a strong **baseline model** for more complex data types such as:
 - **Images** (before using CNNs),
 - **Text** (before using RNNs or Transformers),
 - **Time series** (before using sequence models).
- Provide a simple and interpretable starting point for comparison with more advanced architectures.

→ *MLPs are an essential building block in deep learning — simple, fast, and a reliable baseline.*

2nd Graded Homework: From IMDB (Binary) to Reuters (Multiclass)

Goal: Start from your **IMDB (binary)** notebook and refactor it to **Reuters (multiclass)**. Use the **Fashion-MNIST** setup as inspiration for the multiclass head (softmax, one output per class).

Dataset:

- **keras.datasets.reuters** — newswire topic classification (multiclass).
- Use the **same data representation** as in IMDB (Bag-of-Words); limit vocabulary (e.g., `num_words=10000`).

Modeling hints:

- Replace the binary output (**sigmoid**) with **softmax** over C classes.
- Loss/metrics: **SparseCategoricalCrossentropy** + **SparseCategoricalAccuracy** (for integer labels).

2nd Graded Homework: From IMDB (Binary) to Reuters (Multiclass)

Requirements

- Use an analogous preprocessing and representation as in IMDB (BoW).
- Keep the pipeline: load $\rightarrow$ preprocess $\rightarrow$ build $\rightarrow$ train $\rightarrow$ evaluate.
- Show training curves (loss/accuracy) and discuss overfitting/underfitting.
- Report **accuracy** and include a **confusion matrix**.
- Try **3+** hyperparameter changes (e.g., vocabulary size, layers/units, optimizer/learning rate, early stopping).
- Provide a short summary of your findings in the notebook.
- Show a few misclassified texts and briefly comment on them.
- Compare the results with IMDB / Fashion-MNIST.

2nd Graded Homework: From IMDB (Binary) to Reuters (Multiclass)

Submission

- Submit the notebook by **Oct 21, 2025**.
- **Consultation required by Oct 24, 2025** to receive points (short discussion after lab or individually).